

Atty. Docket No. TEK01 P-333

CERTIFICATE OF MAILING

I hereby certify that this paper, together with all enclosures identified herein, are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington D.C. 20231, on the date indicated below.

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Date

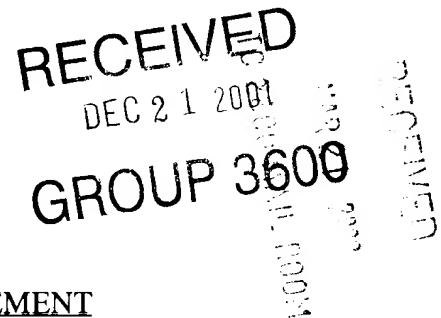
Debbie Mohr
Debbie Mohr

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William E. Richeson
For : **MAGNETIC BRAKE ASSEMBLY**
Serial No. : 09/964,086
Filing Date : September 26, 2001
Art Unit : 3613
Confirmation No.: 2451

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:



INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.97(b)

Pursuant to 37 CFR §§1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached Form PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Applicant respectfully requests that the Examiner consider the listed documents and evidence that consideration by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under United States law, Applicants reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

Applicant : William E. Richeson
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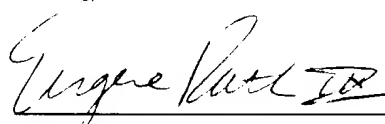
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 16-2463.

Respectfully submitted,

WILLIAM E. RICHESON

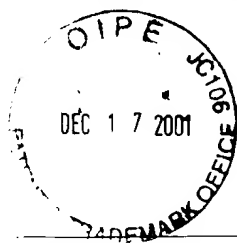
By: Price, Heneveld, Cooper,
DeWitt & Litton

November 30, 2001
Date



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EJR/dsm

FORM PTO-1449
(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
TEK01 P-333SERIAL NO.
09/964,086INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
(Use several sheets if necessary)APPLICANT
WILLIAM E. RICHESONFILING DATE
09/26/01

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 9 3 2 1 3 4	8/3/99	Christ et al.			
	5 8 4 2 5 4 7	12/1/98	Carlson et al.			
	5 7 9 2 2 8 4	8/11/98	Cedell et al.			
	5 7 9 1 4 4 2	8/11/98	Arnold			
	5 7 6 7 4 2 6	6/16/98	Oliver et al.			
	5 5 9 5 6 0 9	1/21/97	Gay			
	5 5 9 1 3 7 3	1/7/97	Ward et al.			
	5 5 8 2 2 7 5	12/10/96	Arnold			
	5 5 6 3 0 0 1	10/8/96	Gay			
	5 5 4 3 1 7 4	8/6/96	Rutz			
	5 5 0 1 7 2 8	3/26/96	Shepley et al.			
	5 4 7 2 5 3 9	12/5/95	Saia et al.			
	5 4 3 5 9 2 9	7/25/95	Tada et al.			
	5 3 9 3 4 4 5	2/28/95	Furuya et al.			
	5 3 3 7 8 6 2	8/16/94	Kuwahara			

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FOREIGN PATENT DOCUMENTS

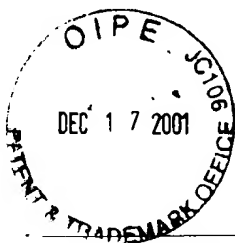
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

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(Rev. 2-32)U.S. DEPARTMENT OF COMMERCE
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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 3 0 6 5 2 4	4/26/94	Rutz et al.			
	5 3 0 3 8 0 2	4/19/94	Kuwahara			
	5 3 0 0 1 5 6	4/5/94	Mohri et al.			
	5 2 7 9 7 8 5	1/18/94	Mohri et al.			
	5 2 7 1 8 9 1	12/21/93	Gay et al.			
	5 2 6 8 1 4 0	12/7/93	Rutz et al.			
	5 2 4 0 6 2 7	8/31/93	Mohri et al.			
	5 2 2 5 4 5 9	7/6/93	Oliver et al.			
	5 2 1 1 8 9 6	5/18/93	Ward et al.			
	5 1 9 8 1 3 7	3/30/93	Rutz et al.			
	5 1 8 9 3 2 4	2/23/93	Beck et al.			
	5 1 8 6 2 8 6	2/16/93	Lindberg			
	5 1 6 7 9 1 5	12/1/92	Yamashita et al.			
	5 1 3 7 7 8 3	8/11/92	Tanihara et al.			
	5 0 6 2 9 8 1	11/5/91	Nomura et al.			
	4 9 7 5 2 1 3	12/4/90	Sakai et al.			

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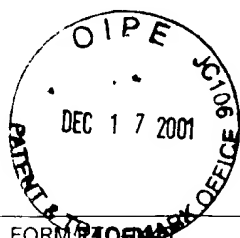
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
					YES NO

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(Form PTO-1449 [6-4])



FORM PTO-101 (Rev. 2-32)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. TEK01 P-333	SERIAL NO. 09/964,086
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	APPLICANT WILLIAM E. RICHESON	
		FILING DATE 09/26/01	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4 7 8 3 5 0 4	10/10/89	Blume, Jr. et al.			
	4 8 3 2 8 9 1	4/23/89	Kass			
	4 8 2 0 3 3 8	4/11/89	Ochiai et al.			
	4 7 1 8 5 2 3	1/12/88	Schneider, Rudolf et al.			
	4 6 0 1 7 6 5	7/22/86	Soileau et al.			
	4 6 0 1 7 5 3	7/22/86	Soileau et al.			
	4 5 5 8 0 7 7	12/10/85	Gray			
	4 3 8 6 6 8 4	6/7/83	Prokop			
	4 3 4 4 0 5 6	8/10/82	Kroeger et al.			
	4 2 1 6 8 4 9	8/12/80	Neill			
	4 0 1 4 4 1 2	3/29/77	Swanson et al.			
	3 7 5 6 3 5 5	9/4/73	Kreider			
	3 6 6 5 2 3 1	5/23/72	Wendler			
	3 2 4 4 2 5 9	1/31/64	A. Brede III, et al.			

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